Is the response to the three questions above different for proprietary and non-proprietary funds? If yes, explain.

How frequently can revenue sharing be recredited?

Is the frequency at the election of the plan sponsor or is it required that a specific frequency be used?

For how many clients are you currently recrediting revenue sharing on a per capita basis?

For how many clients are you currently recrediting revenue sharing based on assets held?

For how many clients are you currently recrediting revenue sharing to the participant invested in the particular fund?

Will you establish an ERISA expense account to receive, hold, and disperse plan level revenue sharing?

Upon direction by the plan fiduciaries, will you dispense funds from this account to pay for approved plan expenses to an outside entity (e.g., attorney advisor, etc.)?

Do assets in the ERISA account need to be allocated or used to offset expenses within a specific time frame? Please explain.